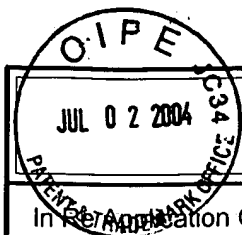


2131

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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
CHA920010022US1

In Re Application Of: Lacan et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/988,320	11/16/2001	N/A	23550	2131	8419

Title: DATA MANAGEMENT SYSTEM AND METHOD

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JUL 07 2004

Technology Center 2100

COMMISSIONER FOR PATENTS:

Transmitted herewith is: Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement-1pg.

Information Disclosure Citation - 1 pg.

PCT Written Opinion in 6 pages

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in the above identified application.

☒ No additional fee is required.

☐ A check in the amount of _____ is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.

09-0469 (IBM)

☐ Charge the amount of _____

☒ Credit any overpayment.

☒ Charge any additional fee required.

Signature

Dated: June 30, 2004

Ronald A. D'Alessandro
Reg. No. 42,456
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
(518) 449-0044

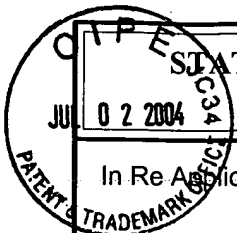
I certify that this document and fee is being deposited on June 30, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Darci DeLisle

Typed or Printed Name of Person Mailing Correspondence

CC:



STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
CHA920010022US1

In Re Application Of: Lacan et al.

Application No. 09/988,320	Filing Date 11/16/2001	Examiner N/A	Customer No. 23550	Group Art Unit 2131	Confirmation No. 8419
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Invention: DATA MANAGEMENT SYSTEM AND METHOD

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JUL 07 2004

Technology Center 2100

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

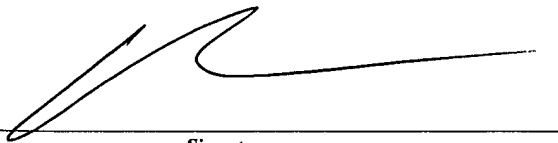
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: June 30, 2004

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22313-1450.



Signature of Person Mailing Correspondence

Darci DeLisle

Typed or Printed Name of Person Mailing Correspondence

CC:

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 <p style="text-align: center;">INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	Docket Number (Optional) CHA920010022US1	Application Number 09/988,320
	Applicant(s) Lacan et al.	
	Filing Date November 16, 2001	Group Art Unit 2131

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		PCT/GB 02/04904	10/2002	PCT Written Opinion				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.